

STATE ELECTIONS ENFORCEMENT COMMISSION

Report of Complaints Investigated by the State Elections Enforcement Commission

Completed from: July 1, 2004

To: December 31, 2004

Prepared By

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STATE ELECTIONS ENFORCEMENT COMMISSION

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File Number	Date Filed	Date Closed	
2003 - 271 - A	11/18/2003	7/15/2004	
Complainant			
Matthew Fitch			
Complainant's Town			
Hamden			
Respondents			
Assistant Facilities Director; Quinnipiac University			
Disposition			
Findings and Conclusions & Stipulated Agreement and Consent Order			
Headnotes			
<p>With respect to Assistant Facilities Director, matter dismissed. Per 9-170 an individual may enter the polling place for the purposes of attempting to verify that another individual is a bona fide resident of the town or political subdivision holding the election. Insufficient evidence to conclude that he entered the polling place other than for purposes of verifying addresses of students who had registered to vote. Quinnipiac University: Stipulated Agreement and Consent order. Commission concludes that Respondent is prohibited by 9-333d from making a contribution or expenditure, to or for the benefit of, a candidate or political party, as Respondent is neither an individual or a committee as defined in Sec. 9-333a; but also concluded that Respondent University's email did not expressly urge student candidate's election, despite its reference to his candidacy. Express advocacy is necessary to trigger application of campaign finance laws as construed by the courts--thus, Respondent did not make a contribution or expenditure by issuing e-mail. In order to ensure future compliance with 9-333d, Respondent agrees that if it provides students with transportation to the polls on election day, it shall take appropriate steps to: ensure no conditions are imposed that would grant or deny access based on support of a particular candidate or party; no express advocacy of election or defeat of a candidate occurs on bus and no campaign signs shall be permitted to be displayed externally; and ensure that no transportation it provides to students to get to the polls shall enter the restricted area surrounding the polling place as delineated by Sec. 9-236.</p>			

File Number	Date Filed	Date Closed	
2003 - 274 -	11/19/2003	12/2/2004	
Complainant			
In Re: New London Municipal Election			
Complainant's Town			
New London			
Respondents			
Michael J. Tranchida, City Clerk; Barbara Major and Melanie J. McKinnon, Registrars of Voters & Richard Vessells and Steve Bonneau, Voting machine mechanics			
Disposition			
Consent Agreements and Orders; civil penalties			
Headnotes			
<p>Tranchida failed to provide emergency paper ballots to moderators in violation of 9-259: failure contributed to chaotic situation on Election Day. Paid \$500 civil penalty and henceforth to strictly comply./ Major admits that neither she nor her designee test voted the machines prior to their use and that all machines used in the 7 New London voting districts at the election were not set up properly, in violation of 9-243 and 9-247; violated 9-244 by failing to provide a designee as required to attend machine set-up & certify that machines were in proper condition for use. Paid civil penalty of \$2,000 & ordered to henceforth strictly comply./McKinnon did not properly test vote machines prior to their use; the repairs done by mechanics at McKinnon's direction were made contrary to 9-263 & contrary to express advice to Respondent to close the machines and remove them from service. Respondent committed violations of 9-243 and 9-247, and 9-244 by failing to properly test vote machines and by certifying that the machines were in proper condition for use in the election.Civil penalty of \$4,000 remitted to \$2,000 due to financial hardship; Respondent ordered to attend instructional session & henceforth to strictly comply. / Vessells, voting machine mechanic, was responsible with mechanic Bonneau for preparing machines for the municipal election; and he admits that the machines were not set up properly nor properly test-voted, in violation of 9-243 and 9-247, & that he failed to complete certifications for each machine as required by 9-246. Paid \$2000 civil penalty; de-certified as a mechanic./Bonneau: did not perform statutory duties such that machines were unfit for election use/\$1000 civil penalty ordered after administrative</p>			

File Number	Date Filed	Date Closed	
2003 - 276 -	11/24/2003	7/16/2004	
Complainant			
Michael W. Germano Jr.			
Complainant's Town			
Hamden			
Respondents			
Curtis Leng, Candidate for Alderman, Hamden			
Disposition			
Consent Agreement and Order; \$100 civil penalty			
Headnotes			
<p>Respondent campaigned within 75 feet of entrance to polling place, including approaching people exiting their vehicles parked within 75 foot radius of exterior doorway used to enter the polling place. Commission concluded Respondent violated CGS Sec., 9-236. Consent Agreement and Order: Respondent shall henceforth comply with requirement of CGS Sec. 9-236 and pay a civil penalty of \$100 to the State of Connecticut.</p>			

File Number	Date Filed	Date Closed	
2003 - 278 -	12/4/2003	10/20/2004	
Complainant			
Christopher L. Caruso			
Complainant's Town			
Bridgeport			
Respondents			
Lisa Parziale, Democratic Registrar of Voters			
Disposition			
Consent Agreement and Order			
Headnotes			
<p>Respondent failed to utilize or implement a system to separate voters waiting to be checked at the appropriate checker table, and those having been checked off, forming lines to enter voting machines. Respondent did not comply with Sec. 9-257, 9-261 and 9-262 concerning the physical set up of polling room. Sec. 9-54 requires registrars to compile separate lists of qualified electors making application for enrollment according to the declared political preference of such electors. The respondent failed to ensure the enrollment list delineated an active date for purposes of party registration. By failing to indicate when an individual becomes an active Democratic party member on the enrollment list prepared for the 9/9/03 primary, Respondent violated Sec. 9-54. Consent Agreement and order: respondent shall henceforth comply with CGS Sec. 9-54, 9-257, 9-261 and 9-262.</p>			

File Number	Date Filed	Date Closed	
2004 - 102 -	2/5/2004	9/15/2004	
Complainant			
Jimmy Cooper			
Complainant's Town			
Bridgeport			
Respondents			
James Holloway & Loretta B.A. Williams			
Disposition			
Consent Agreement and Order & Reconsidered Final Decision/Civil penalties, Holloway: \$3500/ Williams: \$1000			
Headnotes			
<p>Holloway: Commission adopted Agreement containing consent order to pay a civil penalty for violations of CGS 9-410(c) regarding primary petition circulators. \$3500 civil penalty paid in full by Respondent Holloway. Respondent Williams: after contested hearing, Commission on 6-15-2004 adopted Hearing Officer's Final Decision. Williams violated 9-410(c) and was ordered to pay \$4000 civil penalty. Ms. Williams' attorney was informed by mail that the penalty payment was overdue and that the matter would be referred to Attorney General for collection. Following the receipt of documents, Commission on 9-15-2004 adopted the Reconsidered Final Decision incorporating reduction of the civil penalty to \$1,000 due to respondent's financial hardship. Penalty was paid, compliance.</p>			

File Number	Date Filed	Date Closed	
2004 - 104 -	2/18/2004	12/2/2004	
Complainant			
Mary McBride-Lee			
Complainant's Town			
Bridgeport			
Respondents			
Warren Blunt & Cynthia King			
Disposition			
Findings & Conclusions/Dismissed as to Respondent Gomes; Consent Agreements and Orders as to Blunt and King			
Headnotes			
<p>5-19-2004, Agreement with Consent Order as to Respondent Blunt, finding that he falsely stated as petition circulator that he personally witnessed certain individuals signing the petition page in his presence, in violation of 9-410(c) Commission ordered him to pay a civil penalty of \$600 (paid in full by 5-19-04) and henceforth comply with 9-410(c). Consent Agreement and Order with Respondent Cynthia King who was circulator of two primary petition pages and signed circulator's statement on each indicating she witnessed each person sign his or her name. It is concluded that she stated she personally witnessed each petition signatory sign the petition page in her presence, when she did not, and thus violated Connecticut General Statutes 9-410(c). Civil Penalty of \$2000 remitted to \$800. First installment of \$200 received on 8/2/04. Final installment received on December 2, 2004 for compliance. Paid in full.</p>			

File Number	Date Filed	Date Closed	
2004 - 111 -	2/26/2004	8/18/2004	
Complainant			
Henry W. Stormer			
Complainant's Town			
Southbury			
Respondents			
Peter Grimm, Eugene H. Kaplan, Mary Carlucci & James Rousmaniere			
Disposition			
Consent Agreements and Orders			
Headnotes			
<p>Mary Carlucci, Southbury Democratic Town Committee Treasurer, received business entity contribution prohibited by 9-333o from Joseph Brinker Estate in violation of CGS 9-333x(10). Ordered to forfeit amount of contribution, \$200, to State of Connecticut. Respondent Kaplan made two business entity contributions to a candidate and town committee, in violation of 9-333o, and was ordered to pay a civil penalty of \$300 and henceforth comply with 9-333o. Respondent Rousmaniere, Treasurer, Bob Tendler for State Representative, listed no principal occupation or name of employers for contributors in financial disclosure statements and failed to show due diligence in seeking this information from contributors in violation of CGS 9-333j(c)(G); further, Respondent failed to properly reconcile finance disclosure statements with committee bank statement in violation of requirements of CGS 9-333j, and received and deposited an illegal contribution from a business entity in violation of CGS 9-333o(a), and also violated 9-333i(e) by making cash withdrawals aggregating \$400. Rousmaniere received and deposited excessive cash contributions in violation of 9-333x(9). Reprimanded for these violations, and paid civil penalty of \$2000. Respondent Grimm, sole proprietor of First Connecticut Mortgage LLC, made prohibited business entity contribution to Friends of Carol Hubert in violation of CGS 9-333o. Henceforth order to comply with CGS 9-333o.</p>			

File Number	Date Filed	Date Closed	
2004 - 112 -	3/9/2004	8/18/2004	
Complainant			
Ralph R. Ford, Jr.			
Complainant's Town			
Bridgeport			
Respondents			
Lisa Parziale, Democratic Registrar of Voters			
Disposition			
Consent Agreement and Order			
Headnotes			
<p>Respondent approved petition pages without Registrar's certification, also approved pages without acknowledgement of circulator's statement. Consent Agreement and Order, Civil penalty of \$1800 paid and henceforth order to fully comply with 9-410(c) and 9-412</p>			

File Number	Date Filed	Date Closed	
2004 - 154 - NF	5/25/2004	8/17/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Greater Hartford Republican Club, Harry Hartie, Treasurer			
Disposition			
Compliance			
Headnotes			
Failure to timely file campaign finance disclosure statement or exemption, in violation of 9-333j. Respondent filed exemption Form ED-46 on 6/28/04 and paid \$55 civil penalty (reduced from \$165 per APL) on 8/17/04.			

File Number	Date Filed	Date Closed	
2004 - 157 - NF	5/25/2004	7/8/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
The Hartford Together, Lelia Bouyer, Treasurer			
Disposition			
Compliance			
Headnotes			
Failure to timely file campaign finance disclosure statement, in violation of 9-333j. Treasurer filed summary page of statement, Form ED-45 and indicated she had filed with Secretary of the State. Paid \$165 civil penalty on 7/8/04.			

File Number	Date Filed	Date Closed	
2004 - 160 -	6/8/2004	9/15/2004	
Complainant			
Randall Raddatz			
Complainant's Town			
Bethany			
Respondents			
Lucy Painter			
Disposition			
Agreement Containing Henceforth Order			
Headnotes			
<p>Complaint alleged that one of two flyers was prepared and distributed by a group before they filed a Certification of Exemption From Forming a Political Committee. Also two flyers contained imprecise attribution. Communications failed to indicate the Respondent was agent of group and did not include residence address in violation of 9-333w(c). It is concluded that violations were committed unintentionally. Respondent shall henceforth stricly comply with the requirements of CGS 9-333w.</p>			

File Number	Date Filed	Date Closed	
2004 - 162 - NF	6/16/2004	9/15/2004	
Complainant			
Referral by the New Haven City/Town Clerk, Claudia J. Kay			
Complainant's Town			
New Haven			
Respondents			
Friends to Elect Dr. Sherri Killins, Mayor, Lori Welch-Rubin Treas.			
Disposition			
Compliance			
Headnotes			
<p>Failed to file campaign finance statement due on October 9, 2003, in violation of 9-333j and 9-333y. Filed Statement of Receipts and Expenditures on September 15, 2004 along with the civil penalty of \$110 for compliance.</p>			

File Number	Date Filed	Date Closed	
2004 - 164 -	6/18/2004	8/18/2004	
Complainant			
Ellen Camhi			
Complainant's Town			
Stamford			
Respondents			
John Zelinsky, Democratic candidate for State Representative for the 144th Assembly District in Stamford			
Disposition			
Consent Agreement and Order			
Headnotes			
<p>Respondent was a Democratic candidate for State Representative for the 144th Assembly District in Stamford. It is concluded that Respondent's statement that he personally witnessed each person sign the petition in his presence is not accurate and constitutes a violation of CGS 9-410(c), because in the instance in question a spouse signed for another, and the law does not provide any exception for a spouse signing for spouse on a petition page. Henceforth Order to comply with CGS. Sec. 9-410(c) and pay a civil penalty of \$300 to the State of Connecticut.</p>			

File Number	Date Filed	Date Closed	
2004 - 165 -	6/22/2004	9/15/2004	
Complainant			
Robert R. Simpson			
Complainant's Town			
Hartford			
Respondents			
Olga I. Vazquez-Aviles, Democratic Registrar of Voters & Shawn Harrell, Deputy Democratic Registrar of Voters			
Disposition			
Stipulated Agreement to Comply With 9-412 C.G.S. & Agreement Containing Consent Order to Pay a Civil Penalty, \$500			
Headnotes			
<p>STIPULATED AGREEMENT with both respondents adopted 7-14-2004 by the Commission. Complaint alleged certain primary petition pages submitted to office of ROV were invalid. CGS 9-410(c) requires an individual who acts as circulator to be a party enrolled member of the same party at time the circulator obtains the signatures on the petitions. Respondent Aviles delegated her duty to Respondent Harrell and Ms Harrell was required to reject said petitions. Respondents Aviles and Harrell shall comply with the requirements of CGS 9-410(c) and 9-412 and correct the record by rejecting the petitions circulated. AGREEMENT CONTAINING CONSENT ORDER TO PAY A CIVIL PENALTY with Respondent Olga Vazquez-Aviles. Adopted by Commission 9-15-2004. Respondent is legally responsible for actions & omissions of her deputy and she acknowledged the decision to accept petition by her deputy was improper. By not rejecting those petitions, she violated CGS 9-412. Respondent paid civil penalty of \$500.</p>			

File Number	Date Filed	Date Closed	
2004 - 166 -	6/28/2004	10/20/2004	
Complainant			
Leslie M. Wertam, Town Clerk Hampton			
Complainant's Town			
Hampton			
Respondents			
Rusty Lanzit, Elizabeth Wilson, Margaret Haraghey, Robert Burgoyne, Alison and Wendell Davis, Kathy and Steve Donahue, Susan Hochstetter, Kaye and Scott Johnson, Lisa and John McCormick, Jean and Antonio Romano, Rhona Free, Gay Wagner			
Disposition			
Consent Agreements and Orders; civil penalties			
Headnotes			
Consent Agreement #1:Rusty Lanzit, 1st Selectman of Chaplin and Elizabeth Wilson, 1st Selectman of Scotland signed Consent Agreement and Order and each paid a civil penalty of \$100. It was concluded that a minimal amount of municipal funds were expended to disseminate a flyer by using the Town of Scotland Bulk Permit in violation of 9-369b. Respondents also made expenditures in opposition to the referendum prior to filing a certification in accordance with the provisions of CGS 9-333g in violation of 9-333d. The flyer also did not have an attribution indicating who paid for it in violation of 9-333w; Consent Agreement #2:with Respondents known as the group "The Neighbors" which included Mr. Burgoyne, Alison and Wendell Davis, Kathy and Steve Donahue, Susan Hochstetter, Kaye and Scott Johnson, Lisa and John McCormick Jean and Antonio Romano and Gay Wagner. Respondents violated 9-333d by making expenditures in opposition to a referendum; did not file certification in accordance with 9-333g with the town clerk's office prior to making expenditures in support of the referendum; Respondents violated 9-333w by disseminating a flyer missing the required "Paid for by" attribution. Henceforth order with respect to these respondents. Consent Agreement # 3:Commission found that Respondent Haraghey used no public funds in connection with the flyer, so no violation of 9-369b. Respondent violated 9-333d(a) by making expenditures in opposition to the referendum prior to filing a certification pursuant to 9-333g; and the flyer produced lacked required attribution in violation of 9-333w. Respondent Haraghey signed agreement with henceforth order, and paid civil penalty of \$100:			

File Number	Date Filed	Date Closed	
2004 - 167 -	7/15/2004	12/15/2004	
Complainant			
Joseph Klett			
Complainant's Town			
Newington			
Respondents			
Cherie Woods, Treasurer, Ralph 2004			
Disposition			
Agreement Containing Consent Order/Civil penalty \$500			
Headnotes			
Respondent signed Agreement containing Consent Order and paid \$500 penalty for failing to complete section K(4), "In Kind Donations not considered Contributions," on her April 7 report in violation of 9-333j(c) and 9-333l(k); for omitting an \$85 expense incurred but not paid to a vendor on her April 7 report in violation of 9-333j(c)(1)(D); for omitting all reference to a sign vendor on her April 7 report in violation of 9-333j(c)(1)(C); and for failing to include the "paid for by" attribution on three written communications, in violation of 9-333w. She was ordered to: amend the April 7 and subsequent reports concerning the above data, reallocate \$250 individual receipts to reflect \$50 ad book purchases and \$200 in contributions, enter the "d/b/a" name as shown on the CO on her amended reports and to henceforth strictly comply with the statutes herein stated.			

File Number	Date Filed	Date Closed
2004 - 169 -	7/21/2004	9/15/2004
Complainant		
William J. Postler		
Complainant's Town		
North Franklin		
Respondents		
Wendy Jill Potts, Stori Beckwith		
Disposition		
Agreement Containing Henceforth Order for Violations of CGS 9-333d(a), 9-333g(d) and 9-333w		
Headnotes		
<p>Respondents made expenditures, although very small, in support of a referendum prior to filing a certification. Commission concluded Respondents unintentionally violated 9-333d(a) and 9-333g by not filing certification prior to making the expenditure. They unintentionally violated 9-333w: attribution on printed materials should have read "Paid for by" the Name of the Group as it appears on the certification filed in accordance with 9-333g(d) and the name and address of its agent. Respondent ordered to henceforth comply with the aforementioned statutes.</p>		

File Number	Date Filed	Date Closed
2004 - 171 -	7/27/2004	10/20/2004
Complainant		
Dena Fleno		
Complainant's Town		
New Britain		
Respondents		
Disposition		
Findings and Conclusions/Dismissed		
Headnotes		
<p>Case dismissed on 10-20-04 by order of the Commission. Two candidates for municipal offices filed Certifications of Exemption from Forming a Candidate Committee; each spent his own personal funds to promote his own candidacy. One candidate produced campaign literature without consultation with any other committee promoting himself, and adding a single line mentioning a mayoral candidate--Commission found attribution printed thereon met standard of 9-333w. He and other candidate used own personal funds to pay for the flyers and lawn signs and not in coordination or with the consent of the Mayor's campaign, so per definitions at 9-33a and 9-333n, no violation of 9-333r. It was also concluded that the mayoral campaign was not required to report the value of the flyers and signs since no in kind contribution was made, nor to add to attribution as it did not pay for the signs or flyers.</p>		

File Number	Date Filed	Date Closed	
2004 - 172 - A	7/29/2004	12/15/2004	
Complainant			
Hector Riollano, et al, Justina Montalvo			
Complainant's Town			
Waterbury			
Respondents			
David Aldarondo and Felicita Rivera			
Disposition			
Consent Agreements and Orders with civil penalties/ Aldarondo: \$500/Rivera \$1000			
Headnotes			
<p>Consent Agreement and Order with Respondent Aldarondo adopted 11-17-2004--Commission concluded that Respondent failed to sign and to print his name, residence address and telephone number as required by 9-140(a) on multiple absentee ballot applications. He claimed that he was unaware of legal requirements and that any violations thereof were unintentional. Respondent paid civil penalty of \$500 and shall henceforth comply. Respondent Felicita Rivera violated 9-140b (e) by being present while absentee ballots were executed for the August 10 primary. It is further concluded that Respondent violated 9-140b(d) by taking possession of ballots when she was ineligible to do so. Respondent claims she was unaware of legal requirements of 9-140b(e) and 9-140b(d) and that any violations of election laws were unintentional. Commission adopted Consent Agreement 12-15-2004--Respondent paid civil penalty of \$5000, remitted to \$1,000 due to financial hardship and shall refrain from soliciting absentee ballots and distributing absentee ballot applications for a period of two years.</p>			

File Number	Date Filed	Date Closed	
2004 - 172 - B	8/3/2004	12/15/2004	
Complainant			
Justina Montalvo			
Complainant's Town			
Waterbury			
Respondents			
Disposition			
Headnotes			
See 2004-172-A for Commission decision. Cases were combined.			

File Number	Date Filed	Date Closed	
2004 - 172 - C	8/3/2004	12/15/2004	
Complainant			
Hector Riollano			
Complainant's Town			
Waterbury			
Respondents			
Disposition			
Headnotes			
See 2004-172 A for Commission decision. Cases A-C were combined.			

File Number	Date Filed	Date Closed	
2004 - 173 -	8/3/2004	11/17/2004	
Complainant			
William C. Everett			
Complainant's Town			
Mystic			
Respondents			
Dennis Popp, Treasurer for Maynard for State Senate			
Disposition			
Agreement Containing Consent Order			
Headnotes			
Connecticut General Statutes 9-333j(c)(1)(A) requires that each treasurer make an itemized accounting of each contribution together with name and complete address of each contributor and the amount of the contribution. Respondent is in violation by inaccurately disclosing an address. It was found to have been an inadvertent error. Agreement Containing Consent Order: Respondent to amend financial disclosure statement reflecting the correct information and satisfy due diligence requirements in the future and keep better internal records.			

File Number	Date Filed	Date Closed	
2004 - 175 -	8/6/2004	10/20/2004	
Complainant			
Ernest Hewett			
Complainant's Town			
New London			
Respondents			
Melanie J. McKinnon, Registrars of Voters			
Disposition			
Closed			
Headnotes			
Commission found that the Complainant's allegations in counts 1,2,3,4,6,7,8 and 9 should be dismissed because the evidence did not support the allegations. However as to count 5, the Commission found that the Respondent failed to include (as required by 9-23g) the date of the next local election on notices of acceptance that Respondent distributed to mail-in voter registration applicants.			

File Number	Date Filed	Date Closed	
2004 - 177 - NF	8/20/2004	8/30/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Avon Democratic Town Committee, Eric Polinsky, Treasurer			
Disposition			
Referred in error/Closed			
Headnotes			
Secretary of the Stated filed referral in error. Treasurer filed on time with Secretary of the State however, it was misplaced. No further action is required. No violation of 9-333y and 9-333j.			

File Number	Date Filed	Date Closed	
2004 - 178 - NF	8/20/2004	9/7/2004	
Complainant			
Secretary of the State referral			
Complainant's Town			
Hartford			
Respondents			
Enfield Republican Town Committee, Jason Jones, Treasurer			
Disposition			
Compliance			
Headnotes			
Failed to file campaign finance statement due on July 7, 2004, in violation of 9-333j and 9-333y. Respondent filed Statement of Receipts and Expenditures (ED-45) and paid civil penalty of \$110 on September 7, 2004 for compliance.			

File Number	Date Filed	Date Closed	
2004 - 179 - NF	8/20/2004	9/3/2004	
Complainant			
Secretary of the State referral			
Complainant's Town			
Hartford			
Respondents			
Old Saybrook Democratic Town Committee, Edward Wilhelms, Treasurer			
Disposition			
Compliance			
Headnotes			
Failed to file campaign finance disclosure statement due on July 7, 2004, in violation of 9-333j and 9-333y. Check received from Mr. Wilhelms for \$110 on August 31. New Treasurer, Donald S. Brodeur, filed late report electronically (CFIS) with Secretary of the State for Compliance.			

File Number	Date Filed	Date Closed	
2004 - 180 - NF	8/20/2004	9/10/2004	
Complainant			
Secretary of the State referral			
Complainant's Town			
Hartford			
Respondents			
Sharon Republican Town Committee, Neil McCarthy, Treasurer			
Disposition			
Compliance			
Headnotes			
Failed to file campaign finance statement due on July 7, 2004, in violation of 9-333j and 9-333y. Respondent filed Statement of Receipts and Expenditures (ED-45) on September 10, 2004 and paid civil penalty of \$110 on September 10, 2004 for compliance			

File Number	Date Filed	Date Closed	
2004 - 181 - NF	8/20/2004	9/17/2004	
Complainant			
Secretary of the State referral			
Complainant's Town			
Hartford			
Respondents			
Southington Republican Town Committee			
Disposition			
Compliance/Closed			
Headnotes			
Failure to timely file campaign finance disclosure statement, in violation of 9-333j. Per Secretary of the State, respondent complied by filing delinquent report there before SEEC mailed demand letter. Closed.			

File Number	Date Filed	Date Closed	
2004 - 182 - NF	8/20/2004	9/19/2004	
Complainant			
Secretary of the State referral			
Complainant's Town			
Hartford			
Respondents			
Vernon Democratic Town Committee, David Kruase, Treasurer			
Disposition			
Compliance			
Headnotes			
Failed to file campaign finance statement due on July 7, 2004, in violation of 9-333j and 9-333y. Respondent filed Exemption from Itemized Reporting Form (ED-46) and paid the civil penalty of \$110 for compliance.			

File Number	Date Filed	Date Closed	
2004 - 183 - NF	8/20/2004	10/22/2004	
Complainant			
Secretary of the State referral			
Complainant's Town			
Hartford			
Respondents			
Citizens for Connecticut's Future, Anthony Chirico, Treasurer			
Disposition			
Committee terminated/Closed			
Headnotes			
Referred for failure to file campaign finance disclosure statement or exemption, in violation of 9-333j. The Committee terminated, no record of financial activity in its history. No further action by SEEC, file closed.			

File Number	Date Filed	Date Closed	
2004 - 184 - NF	8/20/2004	9/29/2004	
Complainant			
Secretary of the State referral			
Complainant's Town			
Hartford			
Respondents			
Community Empowerment, Tomas Reyes, Treasurer			
Disposition			
Compliance			
Headnotes			
Failed to file campaign finance statement due on July 7, 2004 in violation of 9-333j and 9-333y. Statement of Receipts and Expenditures report (ED-45) received and civil penalty of \$165 paid for compliance.			

File Number	Date Filed	Date Closed	
2004 - 185 - NF	8/20/2004	9/1/2004	
Complainant			
Secretary of the State referral			
Complainant's Town			
Hartford			
Respondents			
CT Podiatry PAC, Darren Courtright, Treasurer			
Disposition			
Compliance			
Headnotes			
Failed to file campaign finance statement due on July 7, 2004, in violation of 9-333j and 9-333y. Respondent filed Statement of Receipts and Expenditures (ED-45) and paid civil penalty of \$220 on September 1, 2004.			

File Number	Date Filed	Date Closed	
2004 - 186 - NF	8/20/2004	10/19/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
CT Working Families Committee, David Lagstein, Treasurer			
Disposition			
Compliance			
Headnotes			
Failed to file campaign finance statement due on July 7, 2004 in violation of 9-333j. Campaign finance disclosure statements filed along with the civil penalty of \$165. Respondent also filed a termination report.			

File Number	Date Filed	Date Closed	
2004 - 187 - NF	8/20/2004	10/4/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Democrats for the Future, Alberto Ayala, Treasurer			
Disposition			
Compliance			
Headnotes			
Failed to file campaign finance disclosure statement which was due on July 7, 2004 as required by CGS 9-333j. Termination report filed and civil penalty of \$110 paid for compliance.			

File Number	Date Filed	Date Closed	
2004 - 188 - NF	8/20/2004	8/31/2004	
Complainant			
Secretary of the State referral			
Complainant's Town			
Hartford			
Respondents			
Democrats Working Together, A. Robin White, Treasurer			
Disposition			
Compliance			
Headnotes			
Failure to file campaign finance disclosure statement due on July 7, 2004, in violation of 9-333j, and 9-333y. Secretary of the State's Office confirmed that the Committee was terminated on or about January 4, 2004, therefore matter is closed and the Commission will take no further action.			

File Number	Date Filed	Date Closed	
2004 - 189 - NF	8/20/2004	8/30/2004	
Complainant			
Secretary of the State referral			
Complainant's Town			
Hartford			
Respondents			
East End PAC, Kimberly Ford, Treasurer			
Disposition			
Compliance			
Headnotes			
Compliance: the campaign finance statement that was due on July 7, 2004 was filed with the Secretary of the State on it's File-It database on August 5, 2004. No letter was mailed by the Elections Enforcement Commission and the matter was closed.			

File Number	Date Filed	Date Closed	
2004 - 190 - NF	8/20/2004	9/16/2004	
Complainant			
Secretary of the State referral			
Complainant's Town			
Hartford			
Respondents			
Friends of the Eighth Ward; William Denby, Treasurer			
Disposition			
Compliance			
Headnotes			
Failed to file campaign finance statement due on July 7, 2004, in violation of 9-333j and 9-333y. Exemption from Itemized Report (ED-46) filed on September 16 along with the civil penalty of \$110 for compliance			

File Number	Date Filed	Date Closed	
2004 - 191 - NF	8/20/2004	9/28/2004	
Complainant			
Secretary of the State referral			
Complainant's Town			
Hartford			
Respondents			
Hartford Federation of Teachers; Travis Works, Treasurer			
Disposition			
Compliance			
Headnotes			
Failed to file a campaign finance disclosure statement (ED-45) or Exemption from Itemized Reporting (ED-46) due on July 7, 2004 in violation of 9-333j and 9-333y. New Treasurer Travis Works filed ED-46 with Secretary of the State on 9/7/04. Civil penalty of \$110 received on 9-28-04 for compliance			

File Number	Date Filed	Date Closed	
2004 - 192 - NF	8/20/2004	9/10/2004	
Complainant			
Secretary of the State referral			
Complainant's Town			
Hartford			
Respondents			
Milford Police Union PAC			
Disposition			
Compliance			
Headnotes			
Failed to file campaign finance statement due on July 7, 2004, for violation on 9-333j and 9-333y. James R. Gruce, Deputy Treasurer filed the ED-45 on September 10, 2004 along with the civil penalty of \$110 for compliance.			

File Number	Date Filed	Date Closed	
2004 - 193 - NF	8/20/2004	10/13/2004	
Complainant			
Secretary of the State referral			
Complainant's Town			
Hartford			
Respondents			
Minority Organization for Leadership, Wilfred Murphy, Treasurer			
Disposition			
Compliance			
Headnotes			
Failed to file campaign finance statement due on July 7, 2004 in violation of 9-333j. Respondent agreed to terminate committee and file a termination report along with a civil penalty of \$55 reduced from \$165. ED-45 termination report filed and received on 10-12-04			

File Number	Date Filed	Date Closed	
2004 - 194 - NF	8/20/2004	9/9/2004	
Complainant			
Secretary of the State referral			
Complainant's Town			
Hartford			
Respondents			
Norwalk Police Union # 1727, Thomas Roncinski, Treasurer			
Disposition			
Compliance			
Headnotes			
Failed to file campaign finance statement due on July 7, 2004, in violation of 9-333j and 9-333y. Respondent filed Statement of Receipts and Expenditures (ED-45) and paid civil penalty of \$110 on September 9, 2004 for compliance			

File Number	Date Filed	Date Closed	
2004 - 195 - NF	8/20/2004	8/30/2004	
Complainant			
Secretary of the State referral			
Complainant's Town			
Hartford			
Respondents			
Nu-Vision PAC, Deborah Caviness, Treasurer			
Disposition			
Compliance			
Headnotes			
Failed to file campaign finance report due on on July 7, 2004, for violation of 9-333j and 9-333y. Statement of Receipts and Expenditures (ED-45) received on August 30, 2004 and Civil penalty of \$275 received on September 2, 2004 for compliance.			

File Number	Date Filed	Date Closed	
2004 - 196 - NF	8/20/2004	9/17/2004	
Complainant			
Secretary of the State referral			
Complainant's Town			
Hartford			
Respondents			
PAW-PAC, Lawrence Hermonowski, Treasurer			
Disposition			
Compliance			
Headnotes			
Failed to file campaign finance statement due on July 7, 2004, in violation of 9-333j and 9-333y. Respondent filed Statement of Receipts and and Expenditures (ED-45) and paid the civil penalty of \$110 on September 14, 2004.			

File Number	Date Filed	Date Closed	
2004 - 197 - NF	8/20/2004	8/26/2004	
Complainant			
Secretary of the State referral			
Complainant's Town			
Hartford			
Respondents			
West Hartford Community Action, Robert Biddleman, Treasurer			
Disposition			
Closed			
Headnotes			
Respondent filed Statement of Receipts and Expenditures due on July 7, 2004 with the Secretary of the State before SEEC's letter was mailed. Closed.			

File Number	Date Filed	Date Closed	
2004 - 198 - NF	8/20/2004	9/1/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Friends of Felipe Reinoso, Ana Nilsa Diaz-Nieves, Treasurer			
Disposition			
Compliance			
Headnotes			
Failed to file campaign finance statement due on July 7, 2004, in violation of 9-333j and 9-333y. Respondent filled Statement of Receipts and Expenditures (ED-45) and paid civil penalty of \$165 on September 1, 2004 for compliance.			

File Number	Date Filed	Date Closed	
2004 - 199 - NF	8/20/2004	8/31/2004	
Complainant			
Secretary of the State referral			
Complainant's Town			
Hartford			
Respondents			
Friends of Musto, David Wilson, Treasurer			
Disposition			
Closed			
Headnotes			
Secretary of the State received campaign finance disclosure statement on August 5, 2004, after referral to the State Elections Enforcement Commission. No further action by this Commission taken and the matter is closed			

File Number	Date Filed	Date Closed	
2004 - 200 - NF	8/20/2004	9/17/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Libertarian Party of Connecticut, Carl Richardson, Treasurer			
Disposition			
Closed			
Headnotes			
Notified by Secretary of the State that respondent complied by filing delinquent campaign finance report prior to SEEC issuance of demand letter. Closed.			

File Number	Date Filed	Date Closed	
2004 - 201 -	8/19/2004	9/15/2004	
Complainant			
Clementina L. Gardner, Registrar of Voters			
Complainant's Town			
Wilton			
Respondents			
Disposition			
Findings and Conclusions/Dismissed			
Headnotes			
Connecticut General Statute 9-357 prohibits fraudulent voter registration by an individual or by an individual on behalf of another. There is no evidence that the individual who resides in Wilton also voted in Greenwich. Findings and Conclusions dismissed the matter.			

File Number	Date Filed	Date Closed
2004 - 202 -	8/23/2004	10/20/2004
Complainant		
Daniel P. Pullium		
Complainant's Town		
Torrington		
Respondents		
Frederick V. Rosa, Treasurer for Friends of Rick		
Disposition		
Consent Agreement and Order to Pay a Civil Penalty, \$500		
Headnotes		
<p>Respondent violated 9-333j(c)(1)(A) when he failed to properly disclose name, address and amount received from individuals at two fundraisers; violated 9-333j(c)(1)(G) for those who contributed over \$100 in the aggregate, the Respondent failed to get the principal occupation of the individual and name of the individuals' employer along with lobbyist status information. It is concluded that he also violated 9-333(h)(a) by not keeping proper internal records of contributions received in donation bucket; as a consequence, there is insufficient evidence to determine whether Respondent violated 9-333x(9) and x(10) by receiving contributions that were made in a prohibited manner. Respondent is reprimanded, and shall amend October 10-28-03 campaign finance disclosure statement and shall henceforth comply with 9-333h, 9-333j and 9-333x. Respondent paid the civil penalty of \$500 for compliance.</p>		

File Number	Date Filed	Date Closed
2004 - 204 -	7/29/2004	12/15/2004
Complainant		
Pamela Rapacz, Registrar of Voters		
Complainant's Town		
Wethersfield		
Respondents		
Wilson Baquero		
Disposition		
Closed without prejudice		
Headnotes		
<p>Respondent completed two voter registration cards that appeared to be fraudulent. He signed both of the cards but provided the wrong address. Commission closed the file on this investigation without prejudice. Reason: unable to locate Respondent.</p>		

File Number	Date Filed	Date Closed	
2004 - 205 -	9/7/2004	11/17/2004	
Complainant			
Christine L. McLean, Chief of Staff and General Counsel, Puerto Rico Federal Affairs Administration			
Complainant's Town			
Commonwealth of Puerto Rico			
Respondents			
Ana M. Molina			
Disposition			
Consent Agreement and Order, civil penalty \$700			
Headnotes			
Respondent violated 9-357, 9-361 and 9-23(g) by fraudulently submitting 89 voter registration cards to Puerto Rican Federal Affairs Administration. She was ordered to pay a civil penalty of \$5000, which was remitted to \$700 due to her demonstrated financial hardship. She was also ordered to send a letter of apology to PRFAA. Consent Agreement signed and penalty fee paid. Letter of apology was forwarded to PRFAA.			

File Number	Date Filed	Date Closed	
2004 - 208 - L	9/14/2004	9/15/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Dorothy Bellhouse, Lobbyist			
Disposition			
Compliance			
Headnotes			
No action taken. Secretary of the State informed SEEC that this Lobbyist filed the July report on 8/9/04.			

File Number	Date Filed	Date Closed	
2004 - 209 - L	9/14/2004	9/15/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
William Brumagim, Lobbyist			
Disposition			
Closed			
Headnotes			
Lobbyist terminated registration prior to beginning of the July 1, 2003-June 30, 2004 reporting period.			

File Number	Date Filed	Date Closed	
2004 - 210 - L	9/14/2004	10/5/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Christine Burbank, Lobbyist, CT Trial Association			
Disposition			
Compliance			
Headnotes			
Failed to file lobbyist annual campaign finance filing due on July 8, 2004, in violation of CGS 9-333l(g). Respondent filed Certification of Exemption from Filing Itemized Disclosure of Contributions and Purchases by a Lobbyist (ED-51) and paid a civil penalty of \$110 for compliance.			

File Number	Date Filed	Date Closed	
2004 - 211 - L	9/14/2004	11/23/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Richard Carmelich, Lobbyist			
Disposition			
Closed, compliance			
Headnotes			
<p>Mr. Carmelich left CCM as a lobbyist during 1st week of March, 2004. He never received letters from Secretary of the State or from SEEC regarding failure to file lobbyist campaign finance report. He filed the ED-51 with Secretary of the State on November 4, 2004, three days after first contact with him. Late filing penalty waived per JBG as all other filings in 2002 and 2003 were timely.</p>			

File Number	Date Filed	Date Closed	
2004 - 212 - L	9/14/2004	12/17/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
John Chapin, Lobbyist			
Disposition			
Compliance			
Headnotes			
<p>Failure to file Itemized Disclosure form ED-50 due on 7-8-04 in violation of 9-333l(g) and 9-333y. Report filed on 12-7-04 and Civil Penalty of \$165 paid for compliance.</p>			

File Number	Date Filed	Date Closed	
2004 - 213 - L	9/14/2004	9/15/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Joel Cogen, Lobbyist			
Disposition			
Closed			
Headnotes			
Notified by Secretary of the State that Respondent filed with their office.			

File Number	Date Filed	Date Closed	
2004 - 214 - L	9/14/2004	9/27/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Edward Dale, Lobbyist			
Disposition			
Closed			
Headnotes			
Secretary of the State referred this lobbyist for failure to file a disclosure form on July 8, 2004 in violation of 9-333l and 9-333y. Edward Dale is no longer a lobbyist, he resigned June 30, 2003. He filed an ED-51 with this office on 9/27 although it was not required. He did file the proper lobbyist termination report with the State Ethics Commission on 6/30/04. No further action will be taken, the matter is closed.			

File Number	Date Filed	Date Closed	
2004 - 215 - L	9/14/2004	12/17/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Evelyn Daly, Lobbyist			
Disposition			
Compliance			
Headnotes			
Failure to file Itemized Disclosure Form ED-50 due on July 8, 2004 in violation of 9-333l(g) and 9-333y. Report filed on 10-25-04 and civil penalty of \$110 paid.			

File Number	Date Filed	Date Closed	
2004 - 216 - L	9/14/2004	10/5/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
David Damer, Lobbyist, PSEG Power LLC			
Disposition			
Compliance			
Headnotes			
Failed to file lobbyist annual campaign finance filing due on July 8, 2004 as required by CGS 9-333l(g). Respondent filed Certification of Exemption from Filing Itemized Disclosure of Contributions and Purchases by Lobbyist (ED-51) and in addition paid the civil penalty of \$110 for compliance.			

File Number	Date Filed	Date Closed	
2004 - 217 - L	9/14/2004	11/5/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Howard Dean, Lobbyist			
Disposition			
Compliance			
Headnotes			
Failed to file Itemized Disclosure Form ED-50 due on July 8, 2004 in violation of 9-333l(g) and 9-333y. Lobbyist disclosure statement filed and civil penalty of \$110 for compliance.			

File Number	Date Filed	Date Closed	
2004 - 218 - L	9/14/2004	11/10/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Joe DeVito, Lobbyist			
Disposition			
Closed, No further action at this time.			
Headnotes			
Failed to file Itemized Disclosure for ED-50 due on July 8, 2004. Respondent no longer resides in CT, last known address and phone number provided by former employer NGR Energy Inc, to date no contact with respondent. The Commission will take no further action at this time.			

File Number	Date Filed	Date Closed	
2004 - 219 - L	9/14/2004	10/5/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Neil H. Ferstand, Lobbyist, CT Trial Lawyers Association			
Disposition			
Compliance			
Headnotes			
Failed to file lobbyist annual campaign finance filing due on July 8, 2004 as required by CGS 9-333l(g). Respondent filed Certification of Exemption from Filing Itemized Disclosure of Contributions and Purchases by a Lobbyist (ED-51) and in addition paid civil penalty of \$110 for compliance.			

File Number	Date Filed	Date Closed	
2004 - 220 - L	9/14/2004	12/27/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Timothy Finnegan, Lobbyist, Accenture LLP			
Disposition			
Closed without prejudice			
Headnotes			
Failed to file Itemized Disclosure form ED 50 which was due on July 11, 2002 as required by CGS 9-333l(g) and 9-333y. Closed without prejudice, could not locate Respondent.			

File Number	Date Filed	Date Closed	
2004 - 221 - L	9/14/2004	9/28/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Kevin Fuller, Lobbyist			
Disposition			
Referred in error/Closed			
Headnotes			
This matter was referred by Secretary of the State in error. According to the Ethics website list, Mr. Fuller terminated his lobbyist status on 5/03. He wasn't registered during the fiscal year in question. Matter closed.			

File Number	Date Filed	Date Closed	
2004 - 222 - L	9/14/2004	12/27/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Elizabeth Gara, Lobbyist, Elizabeth Gara and Associates, LLC			
Disposition			
Compliance			
Headnotes			
Failed to file the Itemized Disclosure form ED-50 which was due on July 8, 2004. Report was filed with Secretary of the State on July 11, 2004 and the late filing fee of \$110 paid for compliance.			

File Number	Date Filed	Date Closed
2004 - 223 - L	9/14/2004	11/22/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Joseph Gentile, Lobbyist		
Disposition		
Closed		
Headnotes		
<p>After due diligence, unable to locate Mr. Gentile. No record that he is currently a lobbyist. Closing this file with no further action. Should he register as a lobbyist in CT he will be liable under CGS 9-333l(g) and 9-333y for his failure to file the Lobbyist's Itemized Disclosure due on July 8, 2004.</p>		

File Number	Date Filed	Date Closed
2004 - 224 - L	9/14/2004	10/18/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Mary Phil Guinan, Lobbyist		
Disposition		
Compliance		
Headnotes		
<p>Failed to file lobbyist annual campaign finance filing due on July 8, 2004 for July 1, 2003 through June 30, 2004 in violation of 9-333l(g). Respondent filed Certification of Exemption from Filing Itemized Disclosure of Campaign Contributions and Purchases by a Lobbyist (Form ED-51) on October 1, 2004. Received Civil Penalty of \$220 on October 18, 2004 for compliance.</p>		

File Number	Date Filed	Date Closed	
2004 - 225 - L	9/14/2004	9/24/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Douglas A. P. Hamilton, Lobbyist			
Disposition			
Closed-Referred in Error			
Headnotes			
Douglas A. P. Hamilton is not a lobbyist, but was a client of lobbying firm Murtha Cullina LLP. Clients do not have to file disclosure statements required of lobbyists by 9-333l(g), so there was no violation of that statute.			

File Number	Date Filed	Date Closed	
2004 - 226 - L	9/14/2004	12/20/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Shawn Hanley, Lobbyist			
Disposition			
Compliance			
Headnotes			
Failure to file lobbyist disclosure statement of contributions and purchases or certification of exemption statement due on July 8, 2004 for the period covering July 1, 2003 through June 30, 2004. Certification of Exemption From Filing Itemized Disclosure of Contributions and Purchases by a Lobbyist Form ED-51 received on December 20, 2004 for compliance with 9-333l(g) and 9-333y. Civil Penalty of \$110 paid for compliance.			

File Number	Date Filed	Date Closed	
2004 - 227 - L	9/14/2004	9/15/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Joseph Hebert, Lobbyist			
Disposition			
Closed			
Headnotes			
Lobbyist terminated registration prior to beginning of the disclosure period.			

File Number	Date Filed	Date Closed	
2004 - 228 - L	9/14/2004	9/23/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
John Hollis, Lobbyist			
Disposition			
Compliance			
Headnotes			
Failed to file lobbyist disclosure Statement of Contributions and Purchases for July 8, 2004 or a Certification of Exemption Statement for period covering July 1, 2003 through June 30, 2004. Report ED-51, Exemption Form filed on September 23, 2004 along with civil penalty of \$55, for compliance.			

File Number	Date Filed	Date Closed	
2004 - 229 - L	9/14/2004	9/24/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Martin Horan, Lobbyist			
Disposition			
Compliance			
Headnotes			
<p>Mr. Horan no longer associated with CLASP Homes as of 11-2004. Robin Hammond took over lobbying duties and claimed to have maintained records from 7-1-03 to 6-30-04. She filed ED-51, Certification of Exemption from Filing Itemized Disclosure of Contributions and Purchases by a Lobbyist with Secretary of the State on 6/22/04 and re-filed it on 9/20/04 as per receipt of SEEC letter. No further actions required. No civil penalty.</p>			

File Number	Date Filed	Date Closed	
2004 - 230 - L	9/14/2004	10/7/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Paula Jackson, Lobbyist			
Disposition			
Compliance			
Headnotes			
<p>Failed to file lobbyist itemized disclosure of campaign contributions and purchases due on July 8, 2004 in violation of 9-333l(g). October 7 received ED-51 and civil penalty of \$110 for compliance.</p>			

File Number	Date Filed	Date Closed	
2004 - 231 - L	9/14/2004	10/7/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Patricia Jacobs, Lobbyist			
Disposition			
Compliance			
Headnotes			
Failed to file Lobbyist Disclosure Statement of Contributions and Purchases or Certification of Exemption Statement (ED-51) for the period covering July 1, 2003 through June 30, 2004. ED-51 report filed on October 7, 2004 along with civil penalty of \$110 for compliance.			

File Number	Date Filed	Date Closed	
2004 - 232 - L	9/14/2004	11/10/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Michael Jordan, Lobbyist			
Disposition			
Closed			
Headnotes			
Failed to file Itemized Disclosure Form ED-50 due on July 8, 2004. Paperwork provided by the State Ethics Commission and the Connecticut Citizen Action Group indicate that Michael Jordan terminated his lobbyist registration effective July 1, 2003. The Commission will take no further action in this matter.			

File Number	Date Filed	Date Closed	
2004 - 234 - L	9/14/2004	10/19/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Janice McDaniel, Lobbyist			
Disposition			
Closed			
Headnotes			
Lobbyist McDaniel terminated her lobbyist registration prior to the reporting period and so was not subject to reporting requirement for period covering July 1,2003 through June 30, 2004. The Commission will take no further action.			

File Number	Date Filed	Date Closed	
2004 - 235 - L	9/14/2004	11/17/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Brian McDermott, Lobbyist			
Disposition			
Compliance			
Headnotes			
Failed to file Itemized Disclosure Form ED-50 which was due on July 8, 2004 for a violation of 9-333l(g) & 9-333y. ED-51, Certification of Exemption from Filing Itemized Disclosure of Contributions and Purchases by a Lobbyist was filed on November 17, 2004 and civil penalty of \$110 paid for compliance.			

File Number	Date Filed	Date Closed	
2004 - 236 - L	9/14/2004	9/28/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Francis McLoughlin, Lobbyist			
Disposition			
Compliance			
Headnotes			
Failure to file Itemized Disclosure of Campaign Contributions and Purchases by a Lobbyist that was due on July 8, 2004 or Certificate of Exemption Form ED-51 in violation of C.G.S. 9-333l(g). Exemption form filed on 9/17/04 along with the civil penalty of \$55.			

File Number	Date Filed	Date Closed	
2004 - 237 - L	9/14/2004	9/30/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
John Olsen, Lobbyist			
Disposition			
Compliance			
Headnotes			
Failed to file lobbyist annual campaign finance filing due on July 8, 2004 as required by CGS 9-333l(g). Respondent filed Certification of Exemption from Filing Itemized Disclosure of Campaign Contributions and Purchases by a Lobbyist (ED-51) and Itemized Disclosure of Campaign Contributions and Purchases by a Lobbyist (ED-50) along with a civil penalty of \$110 for compliance.			

File Number	Date Filed	Date Closed	
2004 - 238 - L	9/14/2004	10/22/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Christina Oneglia, Lobbyist			
Disposition			
Closed			
Headnotes			
No further action at this time. Unable to locate Respondent.			

File Number	Date Filed	Date Closed	
2004 - 239 - L	9/14/2004	10/5/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Armando P Paolino III, Lobbyist, Paolino Public Affairs Consulting			
Disposition			
Compliance			
Headnotes			
Failed to file lobbyist annual campaign finance filing due on July 8, 2004 as required by CGS 9-333l(g). Respondent filed Itemized Disclosure of Campaign Contributions and Purchases by a Lobbyist (ED-50) along with the civil penalty of \$165 for compliance.			

File Number	Date Filed	Date Closed	
2004 - 241 - L	9/14/2004	11/4/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Sandie Preiss, Lobbyist			
Disposition			
Compliance			
Headnotes			
<p>Failed to file Lobbyist Disclosure State of Contributions and Purchases or Certification of Exemption Statement due on July 8, 2004 for period covering July 2, 2003 through June 30, 2004. Certification of Exemption ED-51 filed by Ms. Priess of Abbott Laboratories, fee waived as Priess did not lobby during reporting period and her address was wrongly recorded on Ethics database and she did not receive a notice.</p>			

File Number	Date Filed	Date Closed	
2004 - 242 - L	9/14/2004	9/24/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Maryellen Rubinow, Lobbyist			
Disposition			
Closed			
Headnotes			
<p>Closed--no evidence that Respondent violated 9-333l(g) requiring filing of lobbyist disclosure statement indicating whether or not lobbyist made campaign contributions and if so, the amounts and to whom. Ms. Rubinow left employ of the lobbying firm before reporting period beginning July 1; and had been registered as a precaution by her firm, but did no lobbying.</p>			

File Number	Date Filed	Date Closed	
2004 - 243 - L	9/14/2004	9/27/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Philip Sherwood, Lobbyist			
Disposition			
Compliance			
Headnotes			
Failed to file Lobbyist Disclosure Statement of Contributions and Purchases or Certification of Exemption Statement due on July 8, 2004 for the period covering July 1, 2003 through June 30, 2004 as required by CGS 9-333l(g). Exemption from Filing Itemized Disclosure of Contributions and Purchases by a Lobbyist (form ED-51) filed, along with civil penalty of \$110 for compliance.			

File Number	Date Filed	Date Closed	
2004 - 245 - L	9/14/2004	9/27/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Keith Stover, Lobbyist			
Disposition			
Compliance			
Headnotes			
Failure to file lobbyist Disclosure Statement of Contributions and Purchases for July 8, 2004 or Certification of Exemption Statement for the period covering July 1, 2003 to June 30, 2004 as required by GCS 9-333l(g). Form ED-50, Itemized Disclosure of Campaign Contributions and Purchases by a Lobbyist was filed on 9/27/04 and civil penalty of \$330 paid for compliance.			

File Number	Date Filed	Date Closed	
2004 - 246 - L	9/14/2004	9/22/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
David Tideman, Lobbyist			
Disposition			
Compliance			
Headnotes			
Failed to file Lobbyist Disclosure statement of Contributions and Purchases for July 8, 2004 or Certification of Exemption Statement for the period covering July 1, 2003 through June 30, 2004 as required by CGS 9-333l(g). Respondent filed ED-51 Certification of Exemption and paid civil penalty of \$110 for compliance on September 22, 2004			

File Number	Date Filed	Date Closed	
2004 - 247 - L	9/14/2004	10/1/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Cathleen Tobin, Lobbyist, Edwards & Angell, LLP			
Disposition			
Compliance			
Headnotes			
Failed to file lobbyist annual campaign finance filing due on July 8, 2004 as required by CGS 9-333l(g). Respondent filed Certification of Exemption from Filing Itemized Disclosure of Contributions and Purchases by a Lobbyist (ED-51) along with the civil penalty of \$55 for compliance.			

File Number	Date Filed	Date Closed	
2004 - 248 - L	9/14/2004	10/5/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Gary Waterhouse, Lobbyist, AFSCME Local 15			
Disposition			
Compliance			
Headnotes			
Failed to file lobbyist annual campaign finance filing due on July 8, 2004 as required by CGS 9-333l(g). Respondent filed Itemized Disclosure of Campaign Contributions and Purchases by a Lobbyist (ED-50) on September 30 along with the civil penalty of \$320 for compliance			

File Number	Date Filed	Date Closed	
2004 - 249 - L	9/14/2004	10/28/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Marcia Wellman, Lobbyist			
Disposition			
Compliance			
Headnotes			
Failed to file Itemized Disclosure (ED-50) that was due on July 8, 2004, in violation of 9-333l(g). Report filed on October 27, 2004 along with civil penalty of \$110 for compliance.			

File Number	Date Filed	Date Closed
2004 - 250 - L	9/14/2004	11/22/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Wendy Wiener, Lobbyist		
Disposition		
Compliance		
Headnotes		
<p>Failed to file a Itemized Disclosure Form ED-50 due on July 8, 2004, in violation of 9-333l(g) and 9-333y. ED-51, Certification of Exemption from Filing Itemized Disclosure of Contributions and Purchases by a Lobbyist filed on November 22, 2004 along with the civil penalty of \$110 for compliance.</p>		

File Number	Date Filed	Date Closed
2004 - 251 -	9/21/2004	11/17/2004
Complainant		
Dayna McDermott and Katherine Newcombe, Registrars of Voters		
Complainant's Town		
Hampton		
Respondents		
Hampton City Clerk		
Disposition		
Findings and Conclusions/ Dismissed		
Headnotes		
<p>Commission adopted Findings and Conclusions dismissing the complaint on 11-17-04. It was alleged that an absentee ballot was given to an individual in connection with a Presidential primary who was not registered as a Democrat. Pursuant to CGS 9-56, an unaffiliated voter can not vote in a primary, however CGS 9-140 does not require municipal clerk to check party enrollment list to determine whether an AB applicant is on such list before the issuance of the absentee ballot. It was alleged that Respondent issued absentee ballots to two individuals who were later discovered to not be on the official Grand List for the Town of Hampton. Their ballots were not counted. As a result of a clerical error in the Town of Hampton Tax Assessors Office, they mistakenly did not appear on the list. It is concluded that the Town Clerk committed no violation of the election laws pertaining to the matters alleged.</p>		

File Number	Date Filed	Date Closed
2004 - 252 -	9/22/2004	11/17/2004
Complainant		
Faye Checkley		
Complainant's Town		
Seymour		
Respondents		
Esther W. Rozum, Town Clerk of Seymour		
Disposition		
Consent Agreement and Order/Civil penalty \$1000		
Headnotes		
<p>Respondent violated Sec. 9-140b and 9-369c by not distributing an absentee ballot upon request to a designee of a physically disabled absentee ballot applicant in connection with the 7/8/04 referendum. She was ordered to pay a civil penalty of \$1000 and to henceforth strictly comply with the statutes herein stated. Consent Agreement signed and civil penalty paid.</p>		

File Number	Date Filed	Date Closed
2004 - 253 -	9/22/2004	10/20/2004
Complainant		
Andrew Sauer		
Complainant's Town		
Hartford		
Respondents		
Disposition		
Findings and Conclusions/Dismissed		
Headnotes		
<p>Informational literature for senior citizens containing photograph of senator was not made at public expense to influence election and so was not in violation of Sec. 9-333l(d). Membership-supported, not-for-profit organization created the literature and is clearly a bona fide media entity which is entitled to the press exemption from the definition of campaign expenditure found in 9-333c(b)(5).</p>		

File Number	Date Filed	Date Closed	
2004 - 254 -	9/23/2004	10/20/2004	
Complainant			
Paul A. Simeone			
Complainant's Town			
West Hartford			
Respondents			
Disposition			
Findings and Conclusions/Dismissed			
Headnotes			
<p>Commission adopted Findings and Conclusions dismissing matter. It was found that the advertisement copies submitted to newspaper by business entity opposing a referendum contained the proper attribution required under 9-333w, however, due to an error by the Hartford Courant advertising department, the attributions were omitted from both of the advertisements appearing in the Courant on September 16th and 19th.</p>			

File Number	Date Filed	Date Closed	
2004 - 257 -	9/29/2004	10/20/2004	
Complainant			
Jasyn Sadler			
Complainant's Town			
West Hartford			
Respondents			
Disposition			
Withdrawn			
Headnotes			
<p>On October 20, 2004 the Commission accepted the Complainant's request to withdraw his complaint against the two Respondents. No further action taken.</p>			

File Number	Date Filed	Date Closed	
2004 - 258 -	10/1/2004	12/15/2004	
Complainant			
Paul R. Santoro, First Selectman, Town of Canterbury			
Complainant's Town			
Canterbury			
Respondents			
Neil A. Dupont			
Disposition			
Findings and Conclusions/Dismissed as to 2 respondents/ & Consent Agreement and Order with Dupont			
Headnotes			
<p>Commission adopted Findings and Conclusions dismissing the matter with regard to two members of the Canterbury Republican Town Committee. There was no evidence that administrative errors occurred pertaining to the mandatory data elements on any of the AB applications for a 9-22-2004 referendum or that fraud occurred in the application or issuance of the absentee ballots, so no violation of 9-140b(e) by their actions. With regard to Respondent Neil A. Dupont, the Commission concludes that he failed to co-sign his spouse's application and print or type his name, residence address and telephone number on the absentee application, in his capacity as an assister, in violation of CGS 9-140b. Henceforth ordered to comply with 9-140.</p>			

File Number	Date Filed	Date Closed	
2004 - 259 -	10/8/2004	11/17/2004	
Complainant			
Richard H. Garrison			
Complainant's Town			
West Hartford			
Respondents			
Disposition			
Findings and Conclusions/Dismissed			
Headnotes			
<p>The Commission concludes that 9-333o(c) does not restrict a business entity from making contributions to a political committee to promote the success or defeat of a referendum question if it is also makes expenditures from its treasury to do so. A business entity is subject to the contribution limitation in 9-333w(c) but may also make unlimited expenditures as permitted by 9-333v(d) provided the disclosure requirements are also complied with. It is therefore concluded that potential respondent business firm committed no violation of 9-333o(c), 9-333v(c) or 9-333x(8) or (10) in making expenditures in opposition to a referendum. The matter is dismissed.</p>			

File Number	Date Filed	Date Closed	
2004 - 261 - CNF	10/18/2004	10/18/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Gilberto Concepcion, Senate District #1, Candidate			
Disposition			
Closed			
Headnotes			
State Elections Enforcement Commission received notice on October 18, 2004 from Secretary of the State that the B-4 form was filed by Candidate prior to any SEEC action. Matter Closed.			

File Number	Date Filed	Date Closed	
2004 - 262 - CNF	10/18/2004	10/18/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Maria Torres, Senate District # 2, Candidate			
Disposition			
Closed			
Headnotes			
Secretary of the state received Form B-4 from candidate prior to any action by SEEC. Closed			

File Number	Date Filed	Date Closed
2004 - 263 - CNF	10/18/2004	11/18/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
David Issac Bonan, Senate District #24 Candidate		
Disposition		
Compliance		
Headnotes		
<p>Failure to file Registration of a Candidate Committee ED-49 or Exemption from Forming a Candidate Committee (B-4) It is unclear if candidate was notified of candidate registration requirement and he did comply on his own by filing a B-4 form 10/26 prior to election day.</p>		

File Number	Date Filed	Date Closed
2004 - 264 - CNF	10/18/2004	10/25/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Bob Iacomacci, House District #4 Candidate		
Disposition		
Compliance		
Headnotes		
<p>Candidate failed to file a candidate registration for ED-49 or exemption from registration for B-4. Mr. Iacomacci, Candidate for House District #4 filed a B-4 Form with SEEC on 10/25/04. Due to financial hardship, civil penalty was waived. Matter closed.</p>		

File Number	Date Filed	Date Closed	
2004 - 266 - CNF	10/18/2004	10/18/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Caitlynne Dacey Palmieri, House District #23 Candidate			
Disposition			
Closed			
Headnotes			
Secretary of the State received the B-4 form from this candidate on 10/18/04 prior to any action by SEEC.			

File Number	Date Filed	Date Closed	
2004 - 267 - CNF	10/18/2004	10/28/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Stanley J. Wosczyzna, House District #24 Candidate			
Disposition			
Compliance			
Headnotes			
Candidate failed to file a Candidate Registration (Form ED 49) or Exemption from Registration (Form B-4), prior to receiving any funds or making any expenditures for his candidacy as required by CGS 9-333d. Candidate's nonfiling was due to serious illness. B-4 form was received by SEEC on 10-27. Late penalty waived per JGB due to candidate's circumstances.			

File Number	Date Filed	Date Closed	
2004 - 268 - CNF	10/18/2004	11/3/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Karen Ann Miller, House District #53 Candidate			
Disposition			
Compliance			
Headnotes			
Failed to file candidate registration form ED-49 or exemption from registration form B-4 as required by CGS 9-333d and 9-333f. Certification of Exemption from Forming a Candidate Committee (Form B-4) was filed by Karen Ann Miller on November 2, 2004. Penalty waived. Compliance			

File Number	Date Filed	Date Closed	
2004 - 269 - CNF	10/18/2004	10/22/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Ryan J. Schiavone, House District #55 Candidate			
Disposition			
Compliance			
Headnotes			
Received notice on 10/22/04 from Secretary of the State that Mr. Schiavone had filed a B-4 Form, Exemption from Registration form, the day after SEEC letter was mailed.			

File Number	Date Filed	Date Closed	
2004 - 270 - CNF	10/18/2004	11/17/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Willard J. Ballard, House District #59 Candidate			
Disposition			
Closed			
Headnotes			
Per APL, penalty waived as candidate withdrew from race by 10/18 (prior to election and to SEEC's action). Complied by filing Exemption from Registration, Form B-4 on 11-18-04. Did not receive or expend any monies.			

File Number	Date Filed	Date Closed	
2004 - 271 - CNF	10/18/2004	10/18/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Paul Nyerick, House District #62 Candidate			
Disposition			
Closed			
Headnotes			
Secretary of the State received Form B-4 from this candidate on 10/18/04 prior to any SEEC action.			

File Number	Date Filed	Date Closed
2004 - 272 - CNF	10/18/2004	11/3/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Barbara J. Petty, House District #75 Candidate		
Disposition		
Compliance		
Headnotes		
<p>Candidate for the office of State Representative in special election to be held on 11/2/04. Failed to filed candidate committee registration or exemption from forming a candidate committee. Ms. Petty filed a B-4 Exemption form on 11/26/04. Civil penalty of \$110 reduced to \$55 as candidate says she was not aware of individual filing requirement and was part of a slate of candidates, all funding came from that party. Civil penalty of \$55 received on December 3 for compliance.</p>		

File Number	Date Filed	Date Closed
2004 - 273 - CNF	10/18/2004	10/18/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Gregory Castro, House District #82 Candidate		
Disposition		
Closed		
Headnotes		
<p>Secretary of the State received Form B-4 from this candidate on 10/18/04 prior to any action by SEEC.</p>		

File Number	Date Filed	Date Closed	
2004 - 274 - CNF	10/18/2004	10/28/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Henry Platt, Jr. House District #91 Candidate			
Disposition			
Compliance			
Headnotes			
Candidate failed to file Registration of Candidate Committee (Form ED-49) or Exemption from Forming a Candidate Committee (Form B-4) prior to solicitation or acceptance of contribution or making of any expenditure. ED-49 and B-4 forms were filed on 10/28/04 along with the civil penalty of \$110 for compliance.			

File Number	Date Filed	Date Closed	
2004 - 275 - CNF	10/18/2004	10/29/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
^			
Respondents			
Christopher Jones, House District #113 Candidate			
Disposition			
Compliance			
Headnotes			
Candidate's Registration of Candidate Committee (Form ED-49) was mistakenly filed at the Shelton Town Clerk on July 2, 2004, as confirmed by Shelton Town Clerk. Report should have been filed with the Secretary of the State. Original filing was forwarded to the Secretary of the State on 10/29. No civil penalty per APL, the report was filed timely although in the wrong office.			

File Number	Date Filed	Date Closed
2004 - 276 - CNF	10/18/2004	11/18/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Ramona Marquez, House District #128 Candidate		
Disposition		
Closed		
Headnotes		
<p>Failed to file candidate registration (Form ED-49) or exemption from registration form (Form B-4) prior to receiving any funds or making any expenditures for candidacy, as required by Sec. 9-333(f). Civil penalty waived due to evidence that candidate attempted to timely file, and received and expended no funds. Affidavit and copy of original B-4 was mailed to SOTS. Newly signed B-4 filed. No further action, compliance.</p>		

File Number	Date Filed	Date Closed
2004 - 277 - CNF	10/18/2004	10/21/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Thomas W. Hickey, House District #131 Candidate		
Disposition		
Closed		
Headnotes		
<p>Received notification from Secretary of the State on 10/21/04 that candidate had filed ED 49 Registraion of a Candidate Committee before SEEC action was taken.</p>		

File Number	Date Filed	Date Closed
2004 - 279 -	10/20/2004	11/17/2004
Complainant		
Lori Ann Jeffers		
Complainant's Town		
North Windham		
Respondents		
Disposition		
Finding and Conclusions/Dismissed		
Headnotes		
<p>At its 11-17-2004 meeting Commission adopted Findings & Conclusions dismissing complaint, concluding no violation of 9-333l(d). State representative distributed two sets of legislative reports prepared by the state Office of Legislative Research using public funds. The reports were distributed to Windham Town Hall and Willimantic Public Library. Representative's name, photograph, district number and the towns comprising district appear solely on the cover sheets for each Legislative Report. The reports do not expressly advocate the representative's re-election and do not even refer to his candidacy for re-election. They do not reference his candidacy, political party, his record nor does it solicit contributions for his candidacy. It is concluded that no violation of CGS 9-333l(d) was committed in connection with the distribution of these legislative reports.</p>		

File Number	Date Filed	Date Closed
2004 - 282 -	10/20/2004	12/15/2004
Complainant		
John K. Currie		
Complainant's Town		
West Hartford		
Respondents		
Disposition		
Findings and Conclusions		
Headnotes		
<p>On December 15, 2004, Commission adopted Findings and Conclusions dismissing the matter, concluding that the political action committee in question did not violate CGS 9-333i(g) and 9-333x. The expenses incurred but not paid by the PAC payable to a similarly named 501c4 organization during the reporting period were permissible expenditures pursuant to CGS 9-333i(g). Furthermore, the PAC paid fair market value to the 501c4 organization for the use of their email and mail addresses and therefore did not receive a contribution prohibited by CGS 9-333x.</p>		

File Number	Date Filed	Date Closed	
2004 - 284 -	10/12/2004	12/15/2004	
Complainant			
Brad R. Tobin			
Complainant's Town			
Derby			
Respondents			
Linda M. Gentile			
Disposition			
Agreement Containing Henceforth Order			
Headnotes			
December 15, 2004 Commission adopted Respondent's signed CO containing a henceforth order to strictly comply with Section 9-333w. Respondent failed to include the "paid for by" attribution and to identify the committee's treasurer on the letter requesting the support of the electorate of the 104th District.			

File Number	Date Filed	Date Closed	
2004 - 287 -	10/1/2004	11/29/2004	
Complainant			
Richard Mozdiesz			
Complainant's Town			
Middletown			
Respondents			
Disposition			
Closed			
Headnotes			
Case closed. Complainant failed to respond to Commission queries and to amend the complaint re: allegations claimed to be in violation of election laws.			

File Number	Date Filed	Date Closed
2004 - 288 -	10/27/2004	12/16/2004
Complainant		
Richard M. Smith, Milford Democratic Town Chair		
Complainant's Town		
Milford		
Respondents		
Kevin G. Liddy, Treasurer for Candidate Committee, Staneski 4 the 119th		
Disposition		
Agreement Containing Civil Penalty and Henceforth Order		
Headnotes		
<p>A letter from James L. Richetelli Jr, Republican Mayor of Milford disseminated to voters in the 119th Assembly District promoting the success of Ms. Staneski's campaign for election was paid for by Staneski 4 the 119th committee. The letter failed to include any identification of who paid for it, and did not identify Staneski 4 the 119th as the sponsor. Respondent's omission of the "paid for by" attribution and failure to identify the candidate committee along with his name as treasurer on the letter promoting the success for Ms. Staneski's campaigning constitutes a violation of CGS 9-333w(a). It is concluded that the violations were committed unintentionally. Respondent paid civil penalty of \$50 and shall henceforth strictly comply with requirements of CGS 9-333w.</p>		

File Number	Date Filed	Date Closed
2004 - 290 -	10/29/2004	12/15/2004
Complainant		
William Francis		
Complainant's Town		
Vernon		
Respondents		
Disposition		
Findings and Conclusions/Dismissed		
Headnotes		
<p>Finding and Conclusions dismissing the complaint. It is found that a committee formed at the behest of the mayor to address community issues did not use public facilities or funds to advocate in favor of the November 2, 2004 bond issue referendum. The public forum held by the Committee on 3-20 was not for the purpose of influencing the referendum but rather was for the purpose of providing a setting for the community to discuss general issues of interest. Moreover, no referendum was pending at the time of the March 8 meeting and therefore 9-369b was not applicable. After the referendum was approved by the Town Council and was therefore pending, the Committee produced literature in support of the referendum. The Committee filed an exemption from forming a political committee pursuant to 9-333d and 9-333g and its literature contained an attribution pursuant to 9-333w as required.</p>		

File Number	Date Filed	Date Closed	
2004 - 295 -	11/15/2004	12/16/2004	
Complainant			
Marie Clemente			
Complainant's Town			
Canton			
Respondents			
Disposition			
Findings and Conclusions:Dismissed			
Headnotes			
Complaint alleges that individual voted twice in the November 2nd Presidential Election by changing the spelling of his name in violation of CGS 9-360. It is concluded that this individual did not vote twice and did not violate CGS 9-360. The complaint is dismissed.			

File Number	Date Filed	Date Closed	
2004 - 302 - NF	12/2/2004	12/3/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Sharon Republican Town Committee, Neil McCarthy Treasurer			
Disposition			
Closed			
Headnotes			
Notified by Secretary of the State that reports due on 10/7/04 and 10/26/04 were received in their office before SEEC demand letter sent. Matter Closed.			

File Number	Date Filed	Date Closed	
2004 - 305 - NF	12/2/2004	12/21/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
7 th District Democratic Town Committee, Shirley Surgeon, Treasurer			
Disposition			
Compliance			
Headnotes			
Failure to file campaign finance report which was due on October 26, 2004. Statement ED-45 received on December 20 along with civil penalty of \$110 for compliance.			

File Number	Date Filed	Date Closed	
2004 - 306 - NF	12/2/2004	12/17/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
20 th District Council, Edward Jones, Treasurer			
Disposition			
Referred in error/Compliance			
Headnotes			
Referred in error, group filed 10-7-04 report on File-It and it was thought to be the 10-26-04 report by SOTS. SEEC notified Secretary of the State of error.			

File Number	Date Filed	Date Closed
2004 - 307 - NF	12/2/2004	12/27/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Bank of America Connectic PAC, Joseph Gianni, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file Treasurer's Statement of Receipts and Expenditures for the Bank of America Connecticut PAC, FKA Fleet Bank PAC, for the reporting date October 7, 2004 in violation of CGS 9-333j and 9-333y. Report ED-45 report filed and \$165 civil penalty on 12/27/04 for compliance.		

File Number	Date Filed	Date Closed
2004 - 309 - NF	12/2/2004	12/17/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Bristol Federation of Teachers Association PAC		
Disposition		
Referred in error/Compliance		
Headnotes		
Referred in error. This PAC did file a 10-7-04 campaign finance disclosure statement covering the correct time period but it came in at the time the 10/26 report was due. Secretary of State notified SEEC of the error. Closed		

File Number	Date Filed	Date Closed	
2004 - 310 - NF	12/2/2004	12/27/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
CT Glass Dealers PAC, Kevin McMahon, Treasurer			
Disposition			
Compliance			
Headnotes			
Received notice from the Secretary of the State on 12/9/04 that the Treasurer had filed ED-45s for 10/09/04 and 10/26/04 along with letter that the 10/26/04 report should have been considered a termination report. \$110 civil penalty paid on 12/27/04 for compliance.			

File Number	Date Filed	Date Closed	
2004 - 320 - NF	12/2/2004	12/29/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Bridgeport Democratic Town Committee, Maria J. Hoffman, Treasurer			
Disposition			
Compliance			
Headnotes			
Filed to file Statement of Receipts and Expenditures ED-45 that was due on October 26, 2004 as required by CGS 9-333j and 9-333y. Statement was filed on December 29, 2004 along with late penalty payment of \$110 for compliance.			

File Number	Date Filed	Date Closed	
2004 - 322 - NF	12/2/2004	12/30/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
New Canaan Republican Town Committee, Gordon L. Jones, Treasurer			
Disposition			
Compliance			
Headnotes			
Failure to file campaign finance disclosure report due on October 26, 2004 in violation of CGS 9-333j and 9-333y. ED-45 report filed on 12/30/04 along with civil penalty of \$330 for compliance.			

File Number	Date Filed	Date Closed	
2004 - 324 - NF	12/2/2004	12/27/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Woodbridge Republican Town Committee, Thomas Shernow, Treasurer			
Disposition			
Compliance			
Headnotes			
Failed to file ED-45 campaign finance disclosure statement for the reporting date of October 26, 2004 as required by CGS 9-333j and 9-333y. Report filed on 12/27/04 with the Secretary of the State. Fee waived by JBG.			

File Number	Date Filed	Date Closed
2004 - 326 - NF	12/2/2004	12/3/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Citizens Moving Hamden Forward, Arthur Giuliatti, Treasurer		
Disposition		
Closed		
Headnotes		
Notified by Secretary of the State's Office that report due on 10/26/04 was received by them before the SEEC demand letter sent. Matter Closed.		

File Number	Date Filed	Date Closed
2004 - 327 - NF	12/2/2004	12/30/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Coalition for Political Action, Marguerite Showers, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance disclosure statement Form ED-45 for the reporting date of October 26, 2004 in violation of CGS 9-333j and 9-333y. ED-45 hand delivered on December 30, 2004 along with the civil penalty of \$110 for compliance.		

File Number	Date Filed	Date Closed	
2004 - 328 - NF	12/2/2004	12/15/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Coalition for Connecticut's Future, Kevin Deneen, Treasurer			
Disposition			
Closed			
Headnotes			
<p>Referred by the Office of the Secretary of the State for failure to file the campaign finance disclosure statement due on 10/26/04 as required by 9-333j and 9-333y. Office of the Secretary of the State notified us on December 13, 2004 that the statement filed and civil penalty paid and this matter is closed .</p>			

File Number	Date Filed	Date Closed	
2004 - 329 - NF	12/2/2004	12/3/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Committee for Better Government, Iraida Crespo, Treasurer			
Disposition			
Closed			
Headnotes			
<p>Notified by Office of the Secretary of the State that report due on 10/7/04 was received by them before SEEC demand letter sent. Matter closed.</p>			

File Number	Date Filed	Date Closed
2004 - 340 - NF	12/2/2004	12/28/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Freedom Bureau, Randy Norman, Treasurer		
Disposition		
Compliance		
Headnotes		
<p>Failed to file ED-45 for reporting date of October 26, 2004. The treasurer paid a \$55 civil penalty to the Office of Secretary of the State on 12/21/04 but did not file the ED-45. Per JBG, SEEC waived additional \$55 fee if the ED-45 was promptly filed. It was filed with this office on 12/27/04. Matter was closed.</p>		

File Number	Date Filed	Date Closed
2004 - 341 - NF	12/2/2004	12/23/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Government Action Fund, Dennis Ceneviva, Tresurer		
Disposition		
Compliance		
Headnotes		
<p>Failed to file Statement of Receipts and Expenditures Form ED-45 due on October 26, 2004 in violation of CGS 9-333j and 9-333y. Report filed 12/23/04 along with civil penalty of \$110 for compliance.</p>		

File Number	Date Filed	Date Closed	
2004 - 342 - NF	12/2/2004	12/22/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Greater New Haven Labor Council, Bill Cary, Treasurer			
Disposition			
Compliance			
Headnotes			
Failure to file Statement of Receipts and Expenditures due on October 26, 2004 for violations of CGS 9-333j and 9-333y. Report filed with Office of the Secretary of the State and civil penalty of \$165 paid for compliance.			

File Number	Date Filed	Date Closed	
2004 - 343 - NF	12/2/2004	11/10/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Groton Good Government PAC, Michael Gothie, Treasurer			
Disposition			
Closed			
Headnotes			
Notified by Office of the Secretary of the State that delinquent report due on 10-26-04 was filed on 12-9-04 along with a late filing fee before SEEC demand letter sent. The matter is closed.			

File Number	Date Filed	Date Closed	
2004 - 344 - NF	12/2/2004	12/15/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Hartford Democratic Women United, Donna L. Nappier, Treasurer			
Disposition			
Compliance/Closed			
Headnotes			
<p>Referral from the Office of the Secretary of the State that Respondent failed to file campaign finance disclosure statement which was due on 10/26/04 in violation of 9-333y and 9-333j. December 13, 2004 Secretary of the State's Office informed the Commission that the statement was electronically filed on 11-8-04 and the civil penalty was paid. Therefore, this matter is closed and the Commission will take no further action.</p>			

File Number	Date Filed	Date Closed	
2004 - 345 - NF	12/2/2004	12/30/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
IBEW Local 42 PAC, William Risley, Treasurer			
Disposition			
Compliance			
Headnotes			
<p>Failed to file Statement of Receipts and Expenditures ED-45 due on October 26, 2004 in violation of CGS 9-333j and 9-333y. Report filed with Office of the Secretary of the state and civil penalty of \$110 paid to this Commission for compliance.</p>			

File Number	Date Filed	Date Closed	
2004 - 346 - NF	12/2/2004	12/3/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Juntos PAC, Americo Santiago, Treasurer			
Disposition			
Closed			
Headnotes			
Notified by Office of the Secretary of the State that report due on 10/26/04 was received by them before SEEC demand letter sent. Matter Closed.			

File Number	Date Filed	Date Closed	
2004 - 347 - NF	12/2/2004	12/27/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Justice PAC, John Emra, Treasurer			
Disposition			
Compliance			
Headnotes			
Failed to file Statement of Receipts and Expenditures due on October 26, 2004 in violation of CGS 9-333j and 9-333y. ED-45 report filed on December 27, 2004 along with civil penalty of \$220 for compliance.			

File Number	Date Filed	Date Closed	
2004 - 350 - NF	12/2/2004	12/21/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
Life Underwriters PAC, Carroll Hughes, Treasurer			
Disposition			
Compliance			
Headnotes			
Failure to file Treasurer's statement of Receipts and Expenditures in violation of 9-333j and 9-333y. ED 45 received with civil penalty of \$55 on 12/20/04. Penalty reduced per JBG because PAC did not receive notice from Secretary of the State and because PAC had never previously missed a filing date. Compliance, Closed.			

File Number	Date Filed	Date Closed	
2004 - 352 - NF	12/2/2004	12/3/2004	
Complainant			
Secretary of the State Referral			
Complainant's Town			
Hartford			
Respondents			
OB/GYN Legislative Affairs Committee, Steven Fleischmann, Treasurer			
Disposition			
Closed			
Headnotes			
Notified by Office of the Secretary of the State the the report due on 10/26/04 was received by them before SEEC demand letter sent. Matter Closed			

File Number	Date Filed	Date Closed
2004 - 356 - NF	12/2/2004	12/28/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Seventh Senatorial District Republican Organization, Timothy J. Reynolds, Treasurer		
Disposition		
Compliance		
Headnotes		
Failure to file Statement of Receipts and Expenditures ED-45 due on October 26, 2004 in violation of CGS 9-333j and 9-333y. Received the ED-45 and a civil penalty check for \$110 for compliance on December 28, 2004.		

File Number	Date Filed	Date Closed
2004 - 358 - NF	12/2/2004	12/20/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Waterbury Police Union PAC, Martin Macary, Treasurer		
Disposition		
Compliance, Closed		
Headnotes		
Failure to File Treasurer's Statement of Receipts and Expenditures due on 10/26/04 in violation of 9-333j and 9-333y. Form ED-45 filed along with civil penalty of \$110 for compliance.		